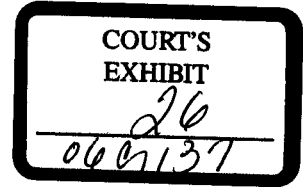


UNITED STATES DISTRICT COURT
DISTRICT OF CONNECTICUT



UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

RONALD E. FERGUSON

VERDICT FORM FOR DEFENDANT RONALD E. FERGUSON

COUNT ONE: CONSPIRACY

As to Count One charging Ronald E. Ferguson with conspiracy, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

If you found Ronald E. Ferguson guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Ronald E. Ferguson conspired to commit securities fraud?

X YES

____ NO

Do all twelve of you agree that Ronald E. Ferguson conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

X YES

____ NO

Do all twelve of you agree that Ronald E. Ferguson conspired to falsify and cause to be falsified the books and records of a public company?

X YES

____ NO

Do all twelve of you agree that Ronald E. Ferguson conspired to commit mail fraud?

X YES

____ NO

COUNT TWO: SECURITIES FRAUD

As to Count Two charging Ronald E. Ferguson with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT THREE: SECURITIES FRAUD

As to Count Three charging Ronald E. Ferguson with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FOUR: SECURITIES FRAUD

As to Count Four charging Ronald E. Ferguson with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FIVE: SECURITIES FRAUD

As to Count Five charging Ronald E. Ferguson with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SIX: FALSE STATEMENTS TO THE SEC

As to Count Six charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SEVEN: FALSE STATEMENTS TO THE SEC

As to Count Seven charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TEN: SECURITIES FRAUD

As to Count Ten charging Ronald E. Ferguson with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT ELEVEN: FALSE STATEMENTS TO THE SEC

As to Count Eleven charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TWELVE: FALSE STATEMENTS TO THE SEC

As to Count Twelve charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC

As to Count Thirteen charging Ronald E. Ferguson with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FOURTEEN: MAIL FRAUD

As to Count Fourteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FIFTEEN: MAIL FRAUD

As to Count Fifteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SIXTEEN: MAIL FRAUD

As to Count Sixteen charging Ronald E. Ferguson with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

CHRISTOPHER P. GARAND

VERDICT FORM FOR DEFENDANT CHRISTOPHER P. GARAND

COUNT ONE: CONSPIRACY

As to Count One charging Christopher P. Garand with conspiracy, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

If you found Christopher P. Garand guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Christopher P. Garand conspired to commit securities fraud?

X YES

____ NO

Do all twelve of you agree that Christopher P. Garand conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

X YES

____ NO

Do all twelve of you agree that Christopher P. Garand conspired to falsify and cause to be falsified the books and records of a public company?

X YES

____ NO

Do all twelve of you agree that Christopher P. Garand conspired to commit mail fraud?

X YES

____ NO

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT TEN: SECURITIES FRAUD

As to Count Ten charging Christopher P. Garand with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT ELEVEN: FALSE STATEMENTS TO THE SEC

As to Count Eleven charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT TWELVE: FALSE STATEMENTS TO THE SEC

As to Count Twelve charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC

As to Count Thirteen charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

☒ GUILTY

 NOT GUILTY

COUNT FOURTEEN: MAIL FRAUD

As to Count Fourteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

☒ GUILTY

 NOT GUILTY

COUNT FIFTEEN: MAIL FRAUD

As to Count Fifteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

☒ GUILTY

 NOT GUILTY

COUNT SIXTEEN: MAIL FRAUD

As to Count Sixteen charging Christopher P. Garand with mail fraud, we find the defendant (*check one*):

☒ GUILTY

 NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

ROBERT D. GRAHAM

VERDICT FORM FOR DEFENDANT ROBERT D. GRAHAM

COUNT ONE: CONSPIRACY

As to Count One charging Robert D. Graham with conspiracy, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

If you found Robert D. Graham guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Robert D. Graham conspired to commit securities fraud?

☒ YES

☐ NO

Do all twelve of you agree that Robert D. Graham conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

☒ YES

☐ NO

Do all twelve of you agree that Robert D. Graham conspired to falsify and cause to be falsified the books and records of a public company?

☒ YES

☐ NO

Do all twelve of you agree that Robert D. Graham conspired to commit mail fraud?

☒ YES

☐ NO

COUNT TWO: SECURITIES FRAUD

As to Count Two charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT THREE: SECURITIES FRAUD

As to Count Three charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FOUR: SECURITIES FRAUD

As to Count Four charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FIVE: SECURITIES FRAUD

As to Count Five charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SIX: FALSE STATEMENTS TO THE SEC

As to Count Six charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SEVEN: FALSE STATEMENTS TO THE SEC

As to Count Seven charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT TEN: SECURITIES FRAUD

As to Count Ten charging Robert D. Graham with securities fraud, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT ELEVEN: FALSE STATEMENTS TO THE SEC

As to Count Eleven charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

☒ GUILTY

☐ NOT GUILTY

COUNT TWELVE: FALSE STATEMENTS TO THE SEC

As to Count Twelve charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC

As to Count Thirteen charging Robert D. Graham with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FOURTEEN: MAIL FRAUD

As to Count Fourteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FIFTEEN: MAIL FRAUD

As to Count Fifteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SIXTEEN: MAIL FRAUD

As to Count Sixteen charging Robert D. Graham with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

CHRISTIAN M. MILTON

VERDICT FORM FOR DEFENDANT CHRISTIAN M. MILTON

COUNT ONE: CONSPIRACY

As to Count One charging Christian M. Milton with conspiracy, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

If you found Christian M. Milton guilty as to Count One, then answer the following question:

Do all twelve of you agree that Christian M. Milton conspired to commit securities fraud?

X YES

____ NO

Do all twelve of you agree that Christian M. Milton conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

X YES

____ NO

Do all twelve of you agree that Christian M. Milton conspired to falsify and cause to be falsified the books and records of a public company?

X YES

____ NO

Do all twelve of you agree that Christian M. Milton conspired to commit mail fraud?

X YES

____ NO

COUNT TWO: SECURITIES FRAUD

As to Count Two charging Christian M. Milton with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT THREE: SECURITIES FRAUD

As to Count Three charging Christian M. Milton with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FOUR: SECURITIES FRAUD

As to Count Four charging Christian M. Milton with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FIVE: SECURITIES FRAUD

As to Count Five charging Christian M. Milton with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SIX: FALSE STATEMENTS TO THE SEC

As to Count Six charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SEVEN: FALSE STATEMENTS TO THE SEC

As to Count Seven charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Christian M. Milton with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Christian M. Milton with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TEN: SECURITIES FRAUD

As to Count Ten charging Christian M. Milton with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT ELEVEN: FALSE STATEMENTS TO THE SEC

As to Count Eleven charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TWELVE: FALSE STATEMENTS TO THE SEC

As to Count Twelve charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC

As to Count Thirteen charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FOURTEEN: MAIL FRAUD

As to Count Fourteen charging Christian M. Milton with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FIFTEEN: MAIL FRAUD

As to Count Fifteen charging Christian M. Milton with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SIXTEEN: MAIL FRAUD

As to Count Sixteen charging Christian M. Milton with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

UNITED STATES DISTRICT COURT

DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

ELIZABETH A. MONRAD

VERDICT FORM FOR DEFENDANT ELIZABETH A. MONRAD

COUNT ONE: CONSPIRACY

As to Count One charging Elizabeth A. Monrad with conspiracy, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

If you found Elizabeth A. Monrad guilty as to Count One, then answer the following questions:

Do all twelve of you agree that Elizabeth A. Monrad conspired to commit securities fraud?

X YES

____ NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?

X YES

____ NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to falsify and cause to be falsified the books and records of a public company?

X YES

____ NO

Do all twelve of you agree that Elizabeth A. Monrad conspired to commit mail fraud?

X YES

____ NO

COUNT TWO: SECURITIES FRAUD

As to Count Two charging Elizabeth A. Monrad with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT THREE: SECURITIES FRAUD

As to Count Three charging Elizabeth A. Monrad with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FOUR: SECURITIES FRAUD

As to Count Four charging Elizabeth A. Monrad with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT FIVE: SECURITIES FRAUD

As to Count Five charging Elizabeth A. Monrad with securities fraud, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SIX: FALSE STATEMENTS TO THE SEC

As to Count Six charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant *(check one)*:

X GUILTY

____ NOT GUILTY

COUNT SEVEN: FALSE STATEMENTS TO THE SEC

As to Count Seven charging Elizabeth A. Monrad or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TEN: SECURITIES FRAUD

As to Count Ten charging Elizabeth A. Monrad with securities fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT ELEVEN: FALSE STATEMENTS TO THE SEC

As to Count Eleven charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT TWELVE: FALSE STATEMENTS TO THE SEC

As to Count Twelve charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT THIRTEEN: FALSE STATEMENTS TO THE SEC

As to Count Thirteen charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FOURTEEN: MAIL FRAUD

As to Count Fourteen charging Elizabeth A. Monrad with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT FIFTEEN: MAIL FRAUD

As to Count Fifteen charging Elizabeth A. Monrad with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

COUNT SIXTEEN: MAIL FRAUD

As to Count Sixteen charging Elizabeth A. Monrad with mail fraud, we find the defendant (*check one*):

X GUILTY

____ NOT GUILTY

Dated at Hartford, Connecticut, on this 25 day of February, 2008.


JURY FOREPERSON